Issuing – certificates and statements of attainment
Policy

Guild Training is committed to ensuring that it will issue, record and report qualifications and statements of attainment within its Scope of Registration and in compliance with the protocols of the Australian Qualifications Framework.

This policy and procedure has been developed by the Pharmacy Guild of Australia as an all-inclusive document for delivery of training and assessment services in the state/territory Branches and should be read in conjunction with the individual state/territory Branch policies and procedures.

Procedure: actions and responsibilities

<table>
<thead>
<tr>
<th>Action</th>
<th>Responsibility</th>
</tr>
</thead>
<tbody>
<tr>
<td>The National Secretariat is responsible for ensuring that all certificates and statements of attainment issued by Guild Training are within its Scope of Registration and comply with the protocols of the Australian Qualifications Framework.</td>
<td>National Secretariat</td>
</tr>
<tr>
<td>The National Secretariat has taken steps to reduce the likelihood of inappropriate use and/or copying of certificates and statements of attainment by including a watermark and organisational stamp on the certificate or statement of attainment.</td>
<td>National Secretariat</td>
</tr>
<tr>
<td>A learner will only receive a certificate or statement of attainment when there is sufficient evidence to determine competency. Competency is determined by a qualified Guild Trainer and communicated to Guild Training using required documentation. Branches will use a competency checklist to ensure that a learner is only awarded a certificate or statement of attainment when they have met all of the assessment requirements and are considered to be competent.</td>
<td>State Branches</td>
</tr>
<tr>
<td>The Training Manager will ensure that assessment records are identified, checked and recorded. Units of competency achieved and assessment results are recorded in Guild Training’s Learner Management System (WiseNet).</td>
<td>State Branches</td>
</tr>
<tr>
<td>The Training Manager will ensure that the certificate or statement of attainment is produced as per the guidelines, examples and templates provided by the National Secretariat. These guidelines/examples outline the formatting and documentation requirements and are located on Alfresco.</td>
<td>State Branches</td>
</tr>
<tr>
<td>The Training Manager will ensure that the certificate or statement of attainment be checked by a second staff member and the units of competency verified for accuracy.</td>
<td>State Branches</td>
</tr>
</tbody>
</table>

When issuing certification the Branch will:

- Issue in a timely manner (AQF certification documentation must be issued within 30 calendar days of the learner’s final assessment being completed or their exiting their course, providing all fees have been paid), so that learners can provide proof of their competence to employers (or potential employers) and obtain any industry licences or accreditation.
- Issue AQF certification documentation directly to the learner, not to another party, such as an employer.
- Issue learners who have completed all units or modules in a qualification with a testamur and a record of results
- Issue a learner who has completed one or more units/modules (but not a full qualification) and has finished their training with your RTO with a statement of attainment (a record of results may also be issued in this case), and
- Ensure learners can access records of certification issued to them.
**RE-ISSUE OF CERTIFICATES AND STATEMENTS OF ATTAINMENT**

If the Branch is re-issuing a certificate/statement of attainment to a learner when the original certificate/statement of attainment has been lost, damaged or destroyed, the following applies:

- The certificate/statement of attainment needs to include all of the information included on the original document(s).
- The Branch needs to include both the issue date and the re-issue date on the certificate/statement of attainment.
- The re-issue date needs to be recorded in the Learner Management System (WiseNet).
- The Branch needs to retain a copy of the re-issued certificate/statement of attainment.
- The Branch should update their certificate register to reflect this change.

The Training Manager is responsible for ensuring the following reporting requirements are met:

- Learner’s certificates or statements of attainment are retained as per the requirements of the National VET Regulator, and if applicable, the State Training Authority.
- Learner’s electronic records are retained for a minimum of thirty years.

The state Training Manager is responsible for ensuring that Guild Training staff receive appropriate training to undertake the role of issuing statements of attainment and certificates. Training should include the correct identification and selection of certificates and statements of attainment and the correct printing of learner details.

The National Secretariat and State Branches are responsible for ensuring the security of certificates and statements of attainment. Certificates and statements of attainments need to be stored in secure and locked locations and only designated staff provided with access to these documents.

The National Secretariat will consult with the state Branches and undertake an annual review of all certificates and statements of attainment and ensure that these meet Australian Qualifications Framework requirements, National VET Regulator requirements, State Training Authority requirements and Guild – Style Guide requirements. Changes to certificates and statements of attainment will be communicated to Branches using standard communication methods.

**Associated documentation**

Certificate and statement of attainment – guidelines, templates and examples

**Related topics**

**References**

**Authority**

National Training Manager – 12.02.2009
National Training Manager – 15.06.2010
National Training Manager – 29.07.2011
Academy Compliance Manager – 23.10.2013
Academy Compliance Manager – 18.06.2014
Head – Guild Learning and Development – 25.01.2017